

**Differences in early brain  
development predict ASD outcome  
in high risk infants**

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**Meeting of the Interagency Autism Coordinating Committee  
Bethesda, MD                      April 2017**

# Conflicts of Interest

No conflicts of interest

Research funding support:



**Why study early brain  
development in autism?**

# Early brain overgrowth

'infantile autism'  
Leo Kanner (1943)

He reported that 5 of  
the original 11 cases had  
'relatively large heads'



Kanner

# Head Circumference

- Indirect measure of brain
- Increased head circumference in ASD, present during the first 3 years
- Methodological differences in studies

Prospective/retrospective

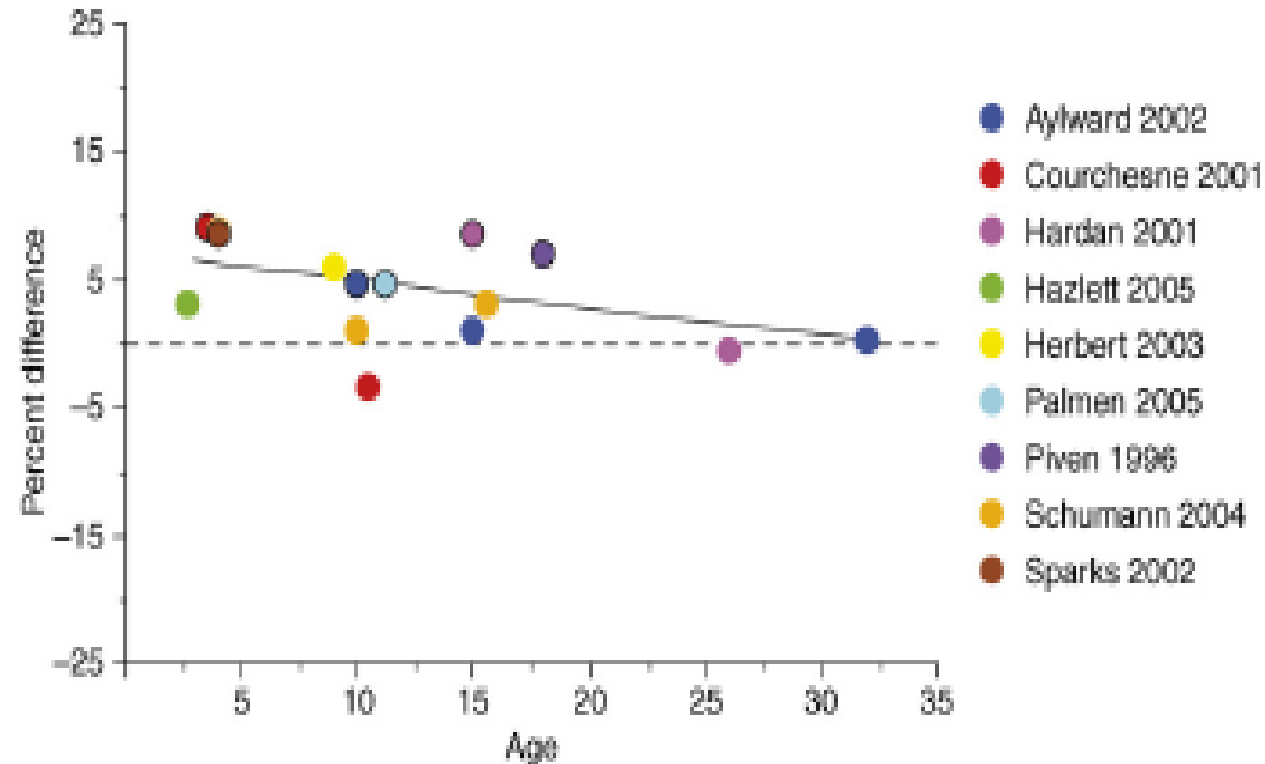
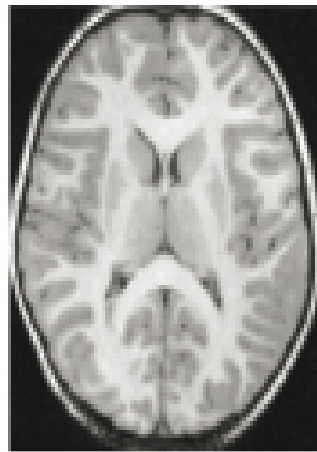
Samples included/diagnostic criteria

Accuracy of measures/QC

Normative data

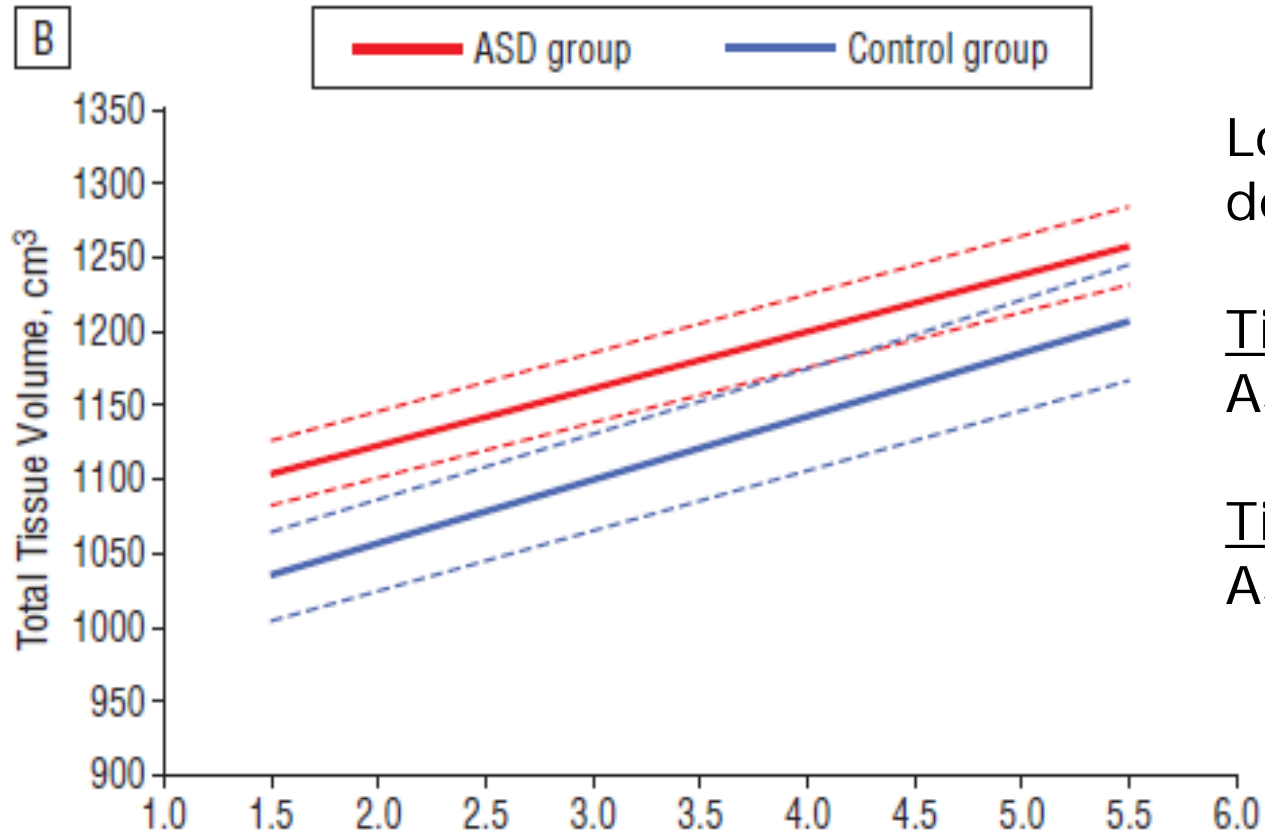
# Brain volume increased early in autism

(a) Total brain



*Amaral, Schumann, & Nordahl, 2008*

# Brain development present in toddlers with ASD



Longitudinal  
design:

Time1 (~ 2 yrs)  
ASD=59, TD=38

Time (~ 4-5 yrs)  
ASD=36, TD=21

## **Increased surface area, but not cortical thickness, in a subset of young boys with autism spectrum disorder.**

Ohta, Nordahl, Iosif, Lee, Rogers, & Amaral. Autism Res, 2015.

- Autism Phenome Project
- 115 ASD boys (15% DM), 50 TD boys
- Scanned at age 3
  
- Found ASD group had greater surface area than TD but not in cortical thickness

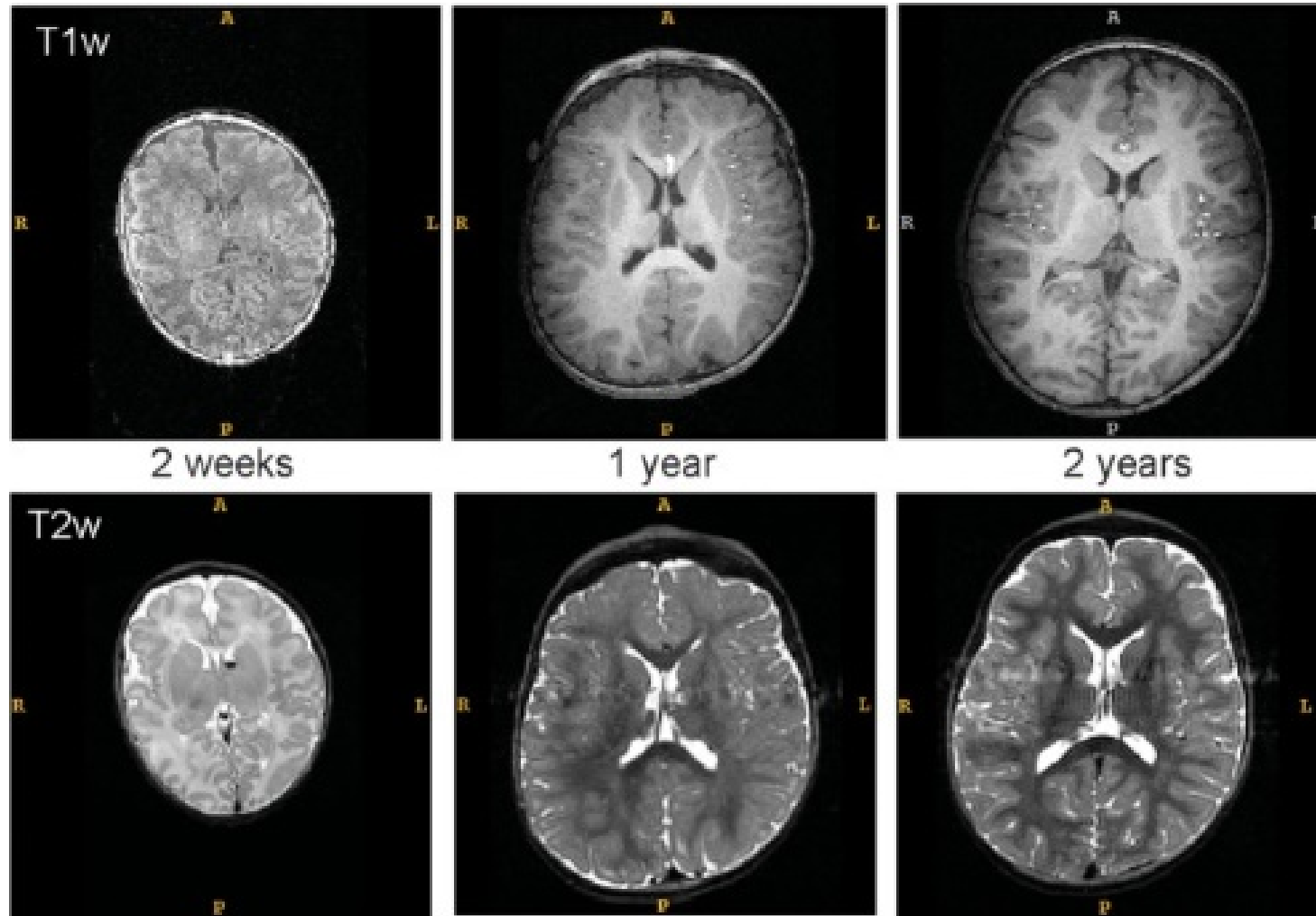


# Birth to Three

- The first two years of life involve rapid brain growth and development
- Brain development is 'activity dependent'
- Critical periods for development

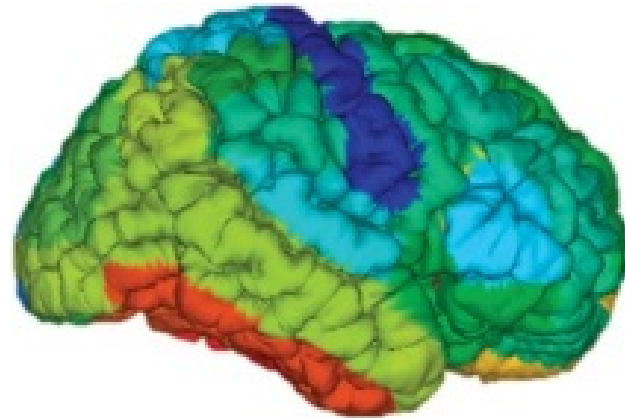


# Typical brain development

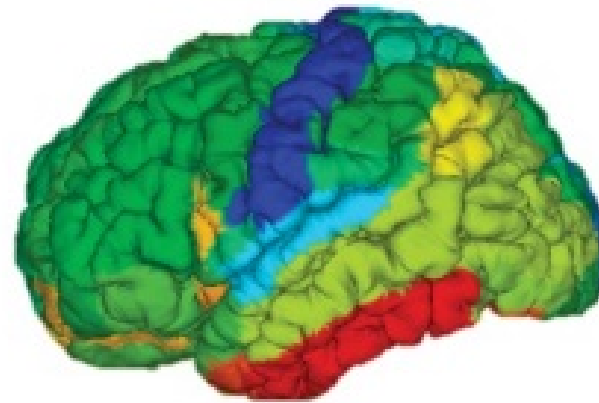


Gilmore et al., 2012

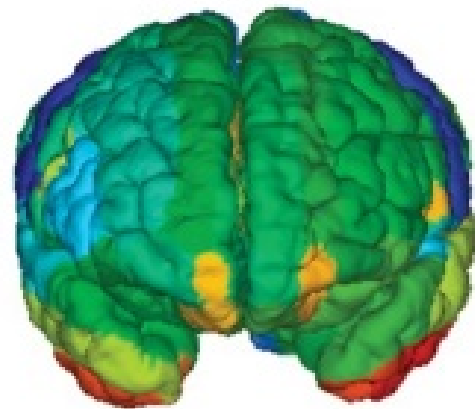
# Gray matter maturation in 1<sup>st</sup> year



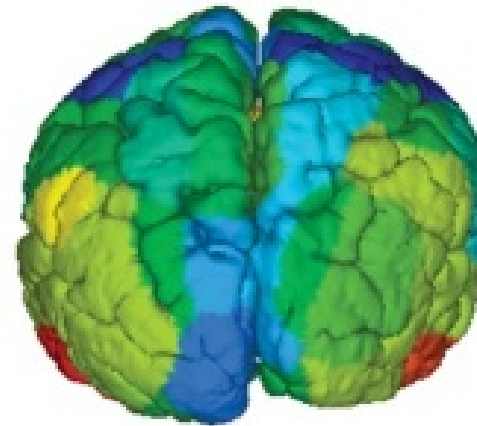
Left



Right

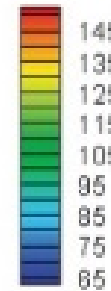


Frontal



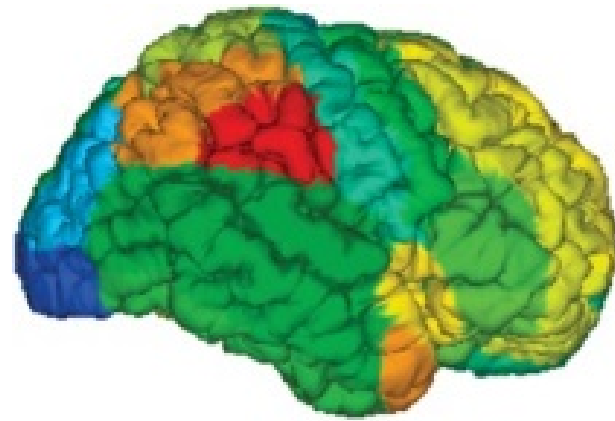
Occipital

Overall  
increase in  
GM 106%

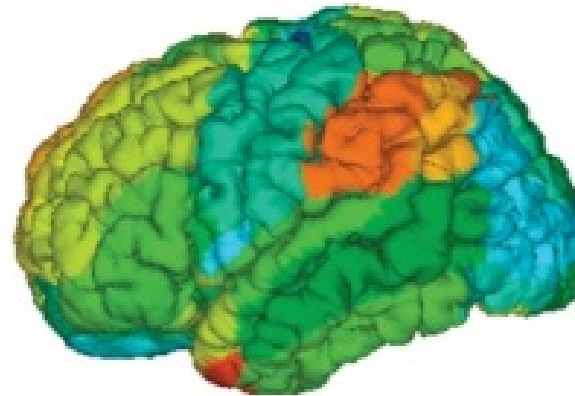


**% Change**

# Gray matter maturation in 2<sup>nd</sup> year

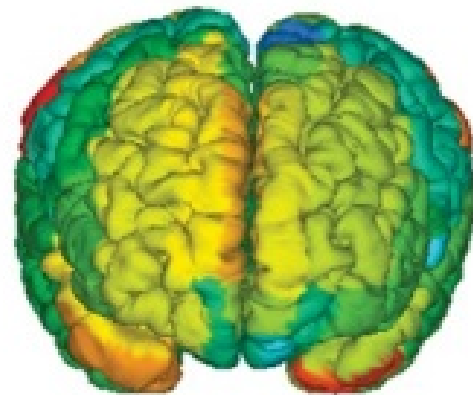
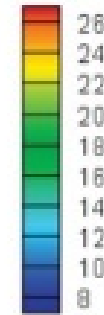


**Left**

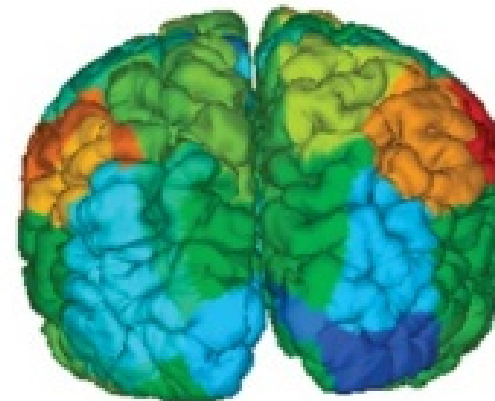


**Right**

Overall  
increase in  
GM 18%



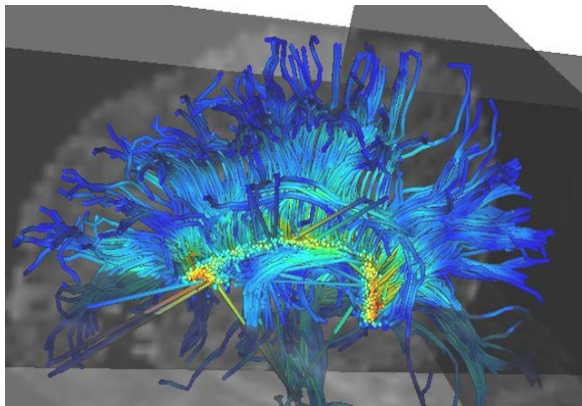
**Frontal**



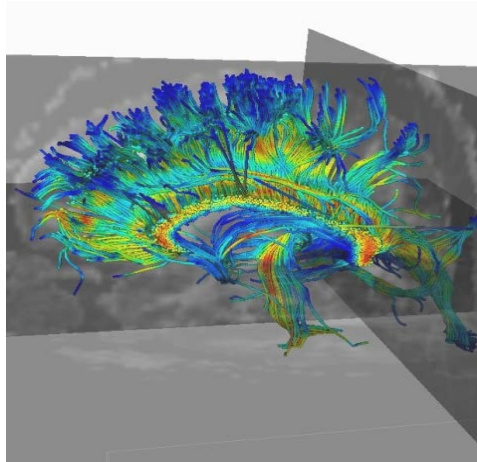
**Occipital**

**% Change**

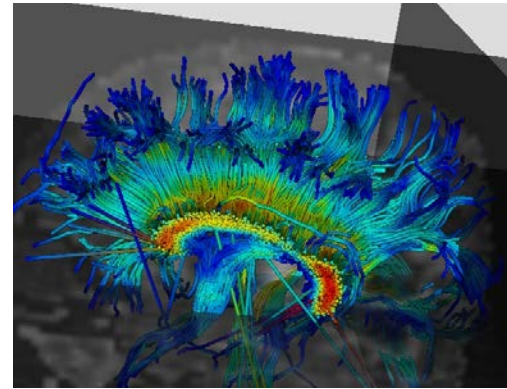
# White matter maturation



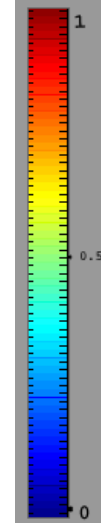
**Neonate (2 wks)**



**Infant (1 year)**



**Adult**



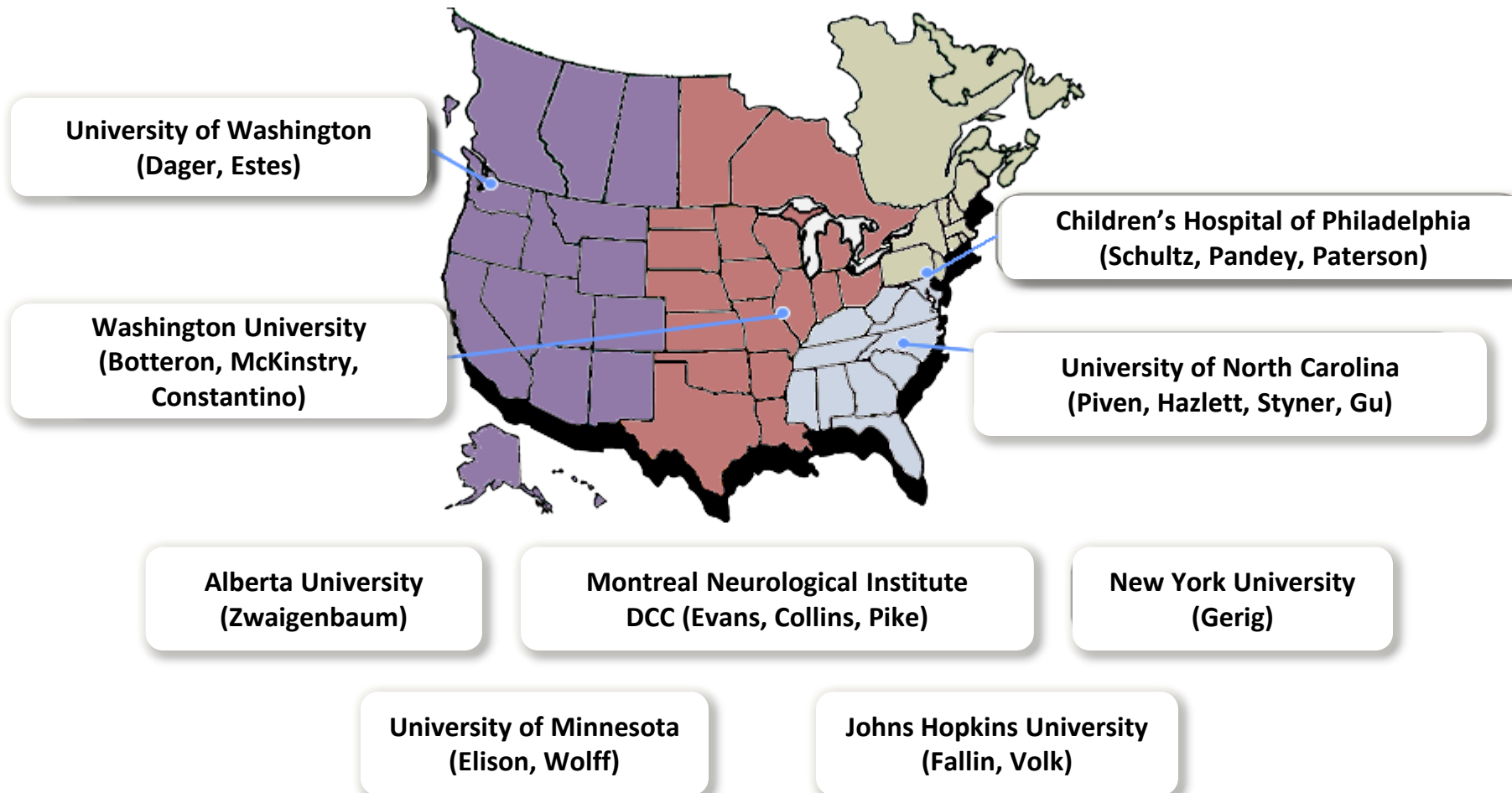
Corpus callosum: DTI (FA) along commissural bundles

**Can brain differences be used to detect ASD?**



# IBIS (Infant Brain Imaging Study) Network

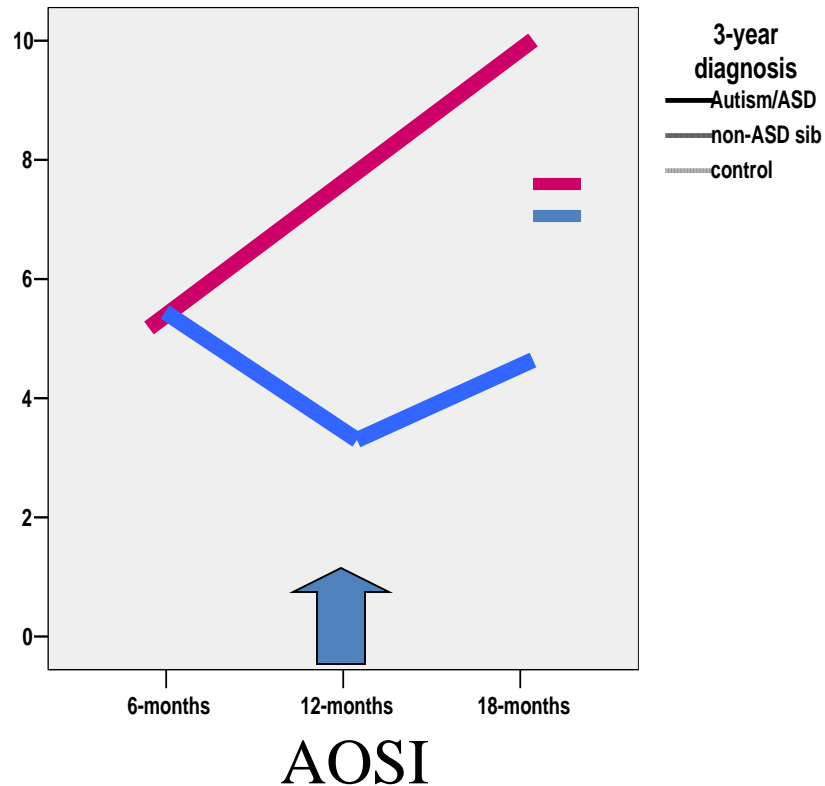
NIH Autism Center of Excellence (PI: Joseph Piven)



# Onset of Autistic Behavior and Brain Enlargement in the Latter Part of the First Year of Life

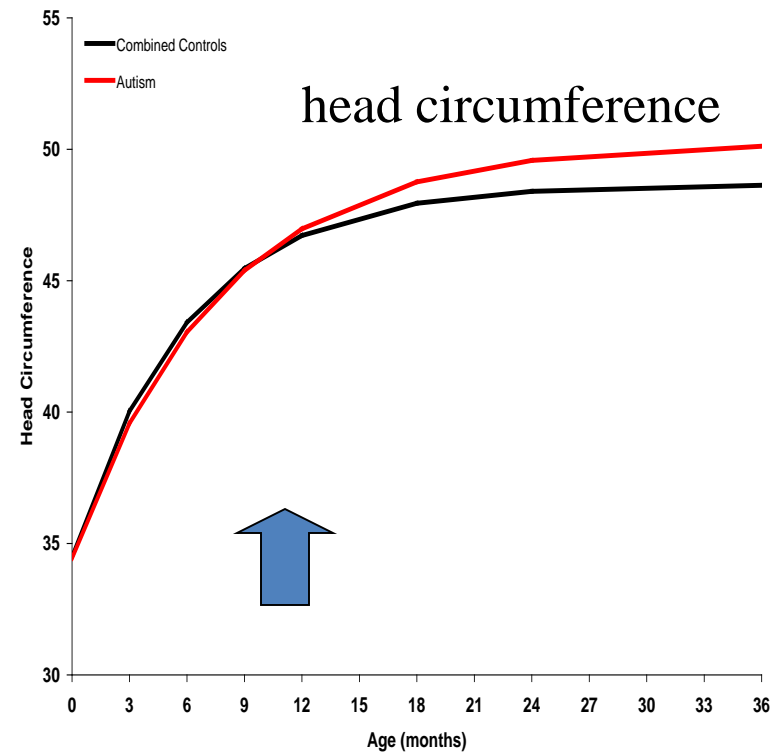
Onset of Autistic Behavior in At-Risk Infant Sibs Between 6-12 months

Zwaigenbaum et al 2005



Onset of Brain Enlargement in Autistic Children before 12 mo.

Hazlett et al 2005





# IBIS Network

- Infants at high-risk for autism (“baby sibs”) – younger sibling at increased risk (~20%)
- Seen longitudinally at 3, 6, 12, and 24 months with follow up at 36 m
- Developmental & behavioral assessments and MRI



LETTER RESEARCH

Nature 2017

# Early brain development in infants at high risk for autism spectrum disorder

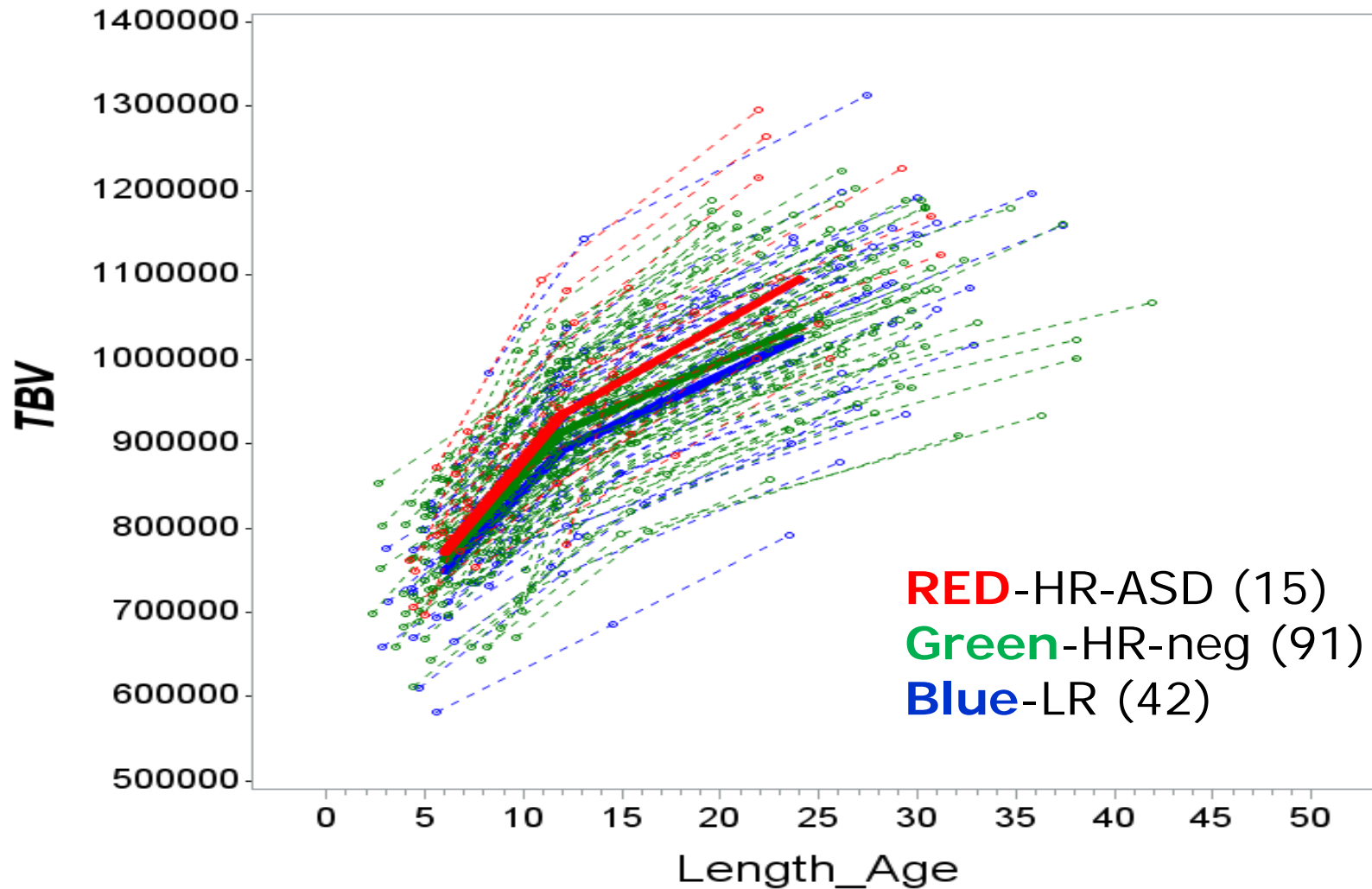
Heather Cody Hazlett<sup>1,2</sup>, Hongbin Gu<sup>1</sup>, Brent C. Munsell<sup>3</sup>, Sun Hyung Kim<sup>1</sup>, Martin Styner<sup>1</sup>, Jason J. Wolff<sup>4</sup>, Jed T. Elison<sup>5</sup>, Meghan R. Swanson<sup>2</sup>, Hongtu Zhu<sup>6</sup>, Kelly N. Botteron<sup>7,8</sup>, D. Louis Collins<sup>11</sup>, John N. Constantino<sup>7</sup>, Stephen R. Dager<sup>8,9</sup>, Annette M. Estes<sup>9,10</sup>, Alan C. Evans<sup>11</sup>, Vladimir S. Fonov<sup>11</sup>, Guido Gerig<sup>12</sup>, Penelope Kostopoulos<sup>11</sup>, Robert C. McKinstry<sup>13</sup>, Juhi Pandey<sup>14</sup>, Sarah Paterson<sup>15</sup>, John R. Pruett Jr<sup>7</sup>, Robert T. Schultz<sup>14</sup>, Dennis W. Shaw<sup>8,9</sup>, Lonnie Zwaigenbaum<sup>16</sup>, Joseph Piven<sup>1,2</sup> & the IBIS Network\*

# Sample

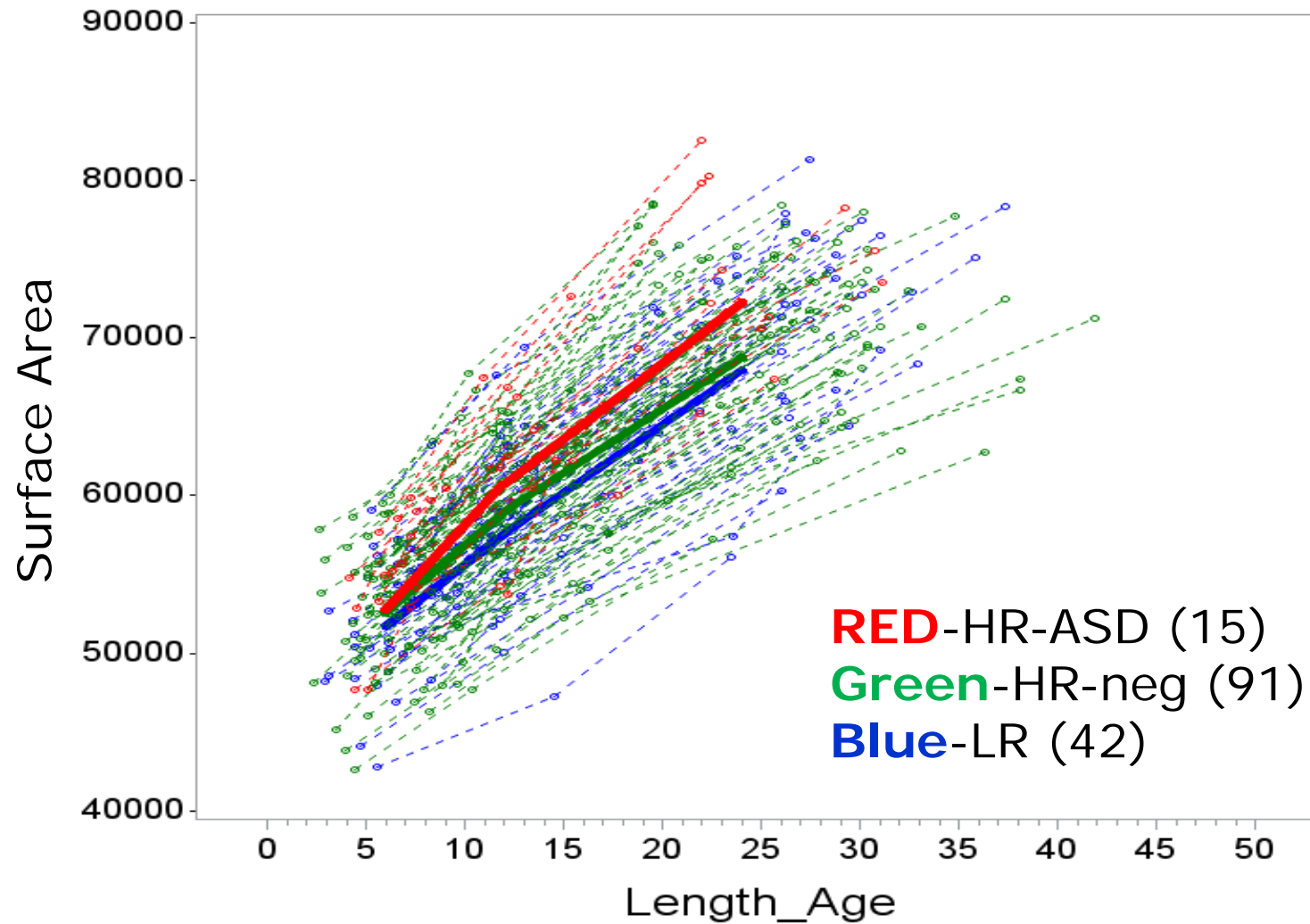
	LR	HR-neg	HR-ASD	
N	117	248	70	
% males	59%	57%	83%	*
Maternal age (yrs)	33.2	33.2	33.3	
Birth weight (lbs)	8.0	7.9	7.9	
Gestational age (wks)	39.3	39.1	38.9	
Age at visit				
6m	6.7	6.6	6.6	
12m	12.7	12.7	12.7	
24m	24.6	24.7	24.6	
Mullen ELC at 24m	109.7	101.8	79.3	*
Vineland ABC at 24m	105.0	101.0	88.1	*

Note: also saw difference in maternal education

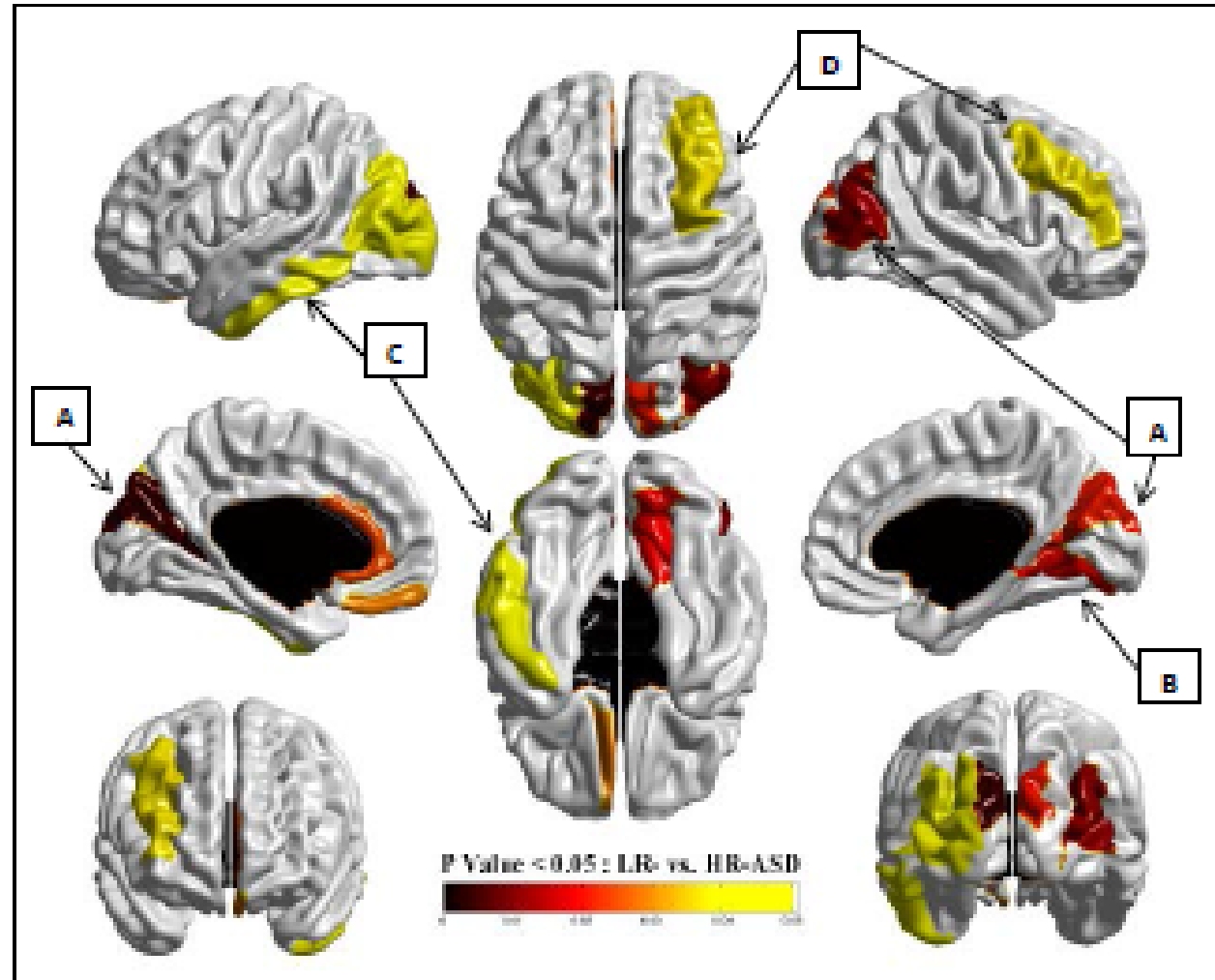
# Brain overgrowth in HR-ASD



# Trajectory of surface area 6-24m



# Regions of SA expansion in HR-ASD



A=middle occipital gyrus & cuneus, B=lingual gyrus,  
C=inferior temporal gyrus, D = middle frontal gyrus

# Brain enlargement associated with behavioral features

TBV growth rate & ADOS severity score

- no relationship at 6-12 month interval
- significant (positive) relationship at 12-24 months ( $p=0.06$ )
- relationship with social affect score, not repetitive behavior

Relationship to social behaviors also seen in CSBS

- Social deficits at 24 months related to increased growth rate in TBV from 12-24 months

**Could early surface area be a biomarker?**



# Deep Learning Classification of Cortical Data



**Martin Styner, Ph.D. & Brent Munsell, Ph.D.**  
UNC College of Charleston



- predicting clinical best estimate diagnosis at 24 months:  
high risk–ASD versus high risk-negative
- 6 and 12 month scans
- cortical thickness & surface area; sex, total brain volume
- 78 ROI's x 2 hemispheres x 2 time points = 608 data points
- divide 179 (34 HR-ASD, 145 HR-neg) into 10 equal parts (folds)  
each with a HR-ASD/AR-Neg ratio ~ to total sample
- train on 9 parts/folds and test on 1 part/fold; average correct vs  
incorrect across all 10 folds

## Predicting 24 Month Diagnostic Outcome from 6-12 Month Surface Area

	<b>ASD (n=34)</b>	<b>Non-ASD (n=145)</b>	
<b>Positive Test (ASD)</b>	True Positive (TP) N=30	False Positive (FP) N=7	<b>PPV = 81%</b> <b>TP/(TP + FP)</b>
<b>Negative Test (Non-ASD)</b>	False Negative (FN) N=4	True Negative (TN) N=138	<b>NPV = 97%</b> <b>TN/(FN + TN)</b>
	Total ASD	Total non-ASD	
	<b>sensitivity = 88%</b> <b>TP/ASD</b>	<b>specificity = 95%</b> <b>TN/non-ASD</b>	

features correctly classify ~ 8 of 10 (81%) of infants as ASD

# Predicting Later Autism from Early Behavior?



Article

## The First Year Inventory: a longitudinal follow-up of 12-month-old to 3-year-old children

Autism; 2013

Lauren M Turner-Brown  
University of North Carolina at Chapel Hill, USA

Autism  
17(5) 527-540  
© The Author(s) 2012  
Reprints and permissions:  
sagepub.co.uk/journalsPermissions.nav  
DOI: 10.1177/1362361312439633  
aut.sagepub.com

PPV = .14

no validation sample

## 18-Month Predictors of Later Outcomes in Younger Siblings of Children With Autism Spectrum Disorder: A Baby Siblings Research Consortium Study

JAACAP 2014

Katarzyna Chawarska, PhD, Frederick Shic, PhD, Suzanne Macari, PhD,  
Daniel J. Campbell, PhD, Jessica Brian, PhD, Rebecca Landa, PhD, Ted Hutman, PhD,  
Charles A. Nelson, PhD, Sally Ozonoff, PhD, Helen Tager-Flusberg, PhD,  
Gregory S. Young, PhD, Lonnie Zwaigenbaum, MD, Ira L. Cohen, PhD,  
Tony Charman, PhD, Daniel S. Messinger, PhD, Ami Klin, PhD,  
Scott Johnson, PhD, Susan Bryson, PhD

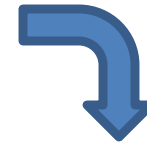
PPV = .50

in a validation sample

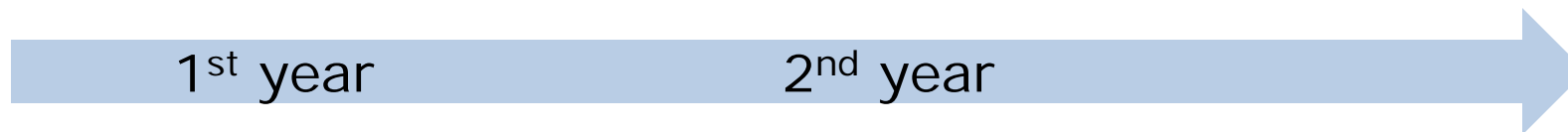
Early  
surface  
area  
expansion



Brain  
overgrowth



Emergence  
of behavioral  
features



# Summary of findings

brain changes are present as early as **6 months of age** (before the appearance of the defining features of autism)

the brain in autism **changes over time** (age 6 – 24 months) ... during a critical period when autistic behavior is first unfolding

# Clues to mechanisms?

Neocortical neurogenesis and the etiology of autism spectrum disorder (2016). Alan Packer (SFARI)



Some ASD risk genes have role in neurodevelopment

Altered neurogenesis?

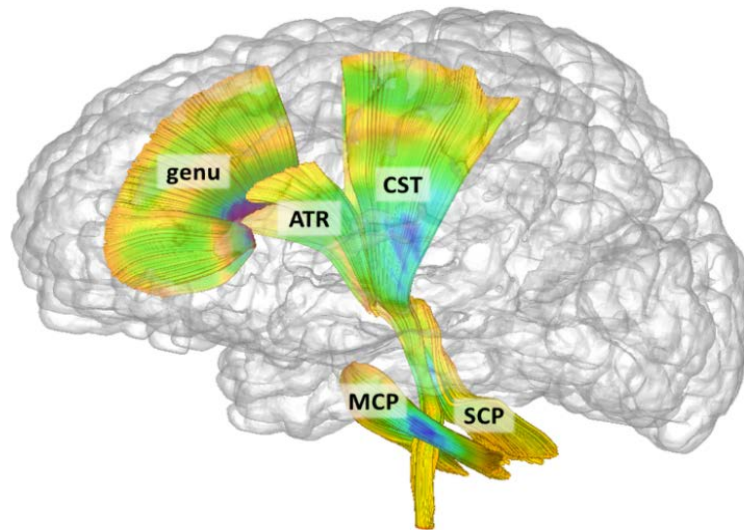
Neural progenitor cell proliferation?

**Other evidence for early brain differences and ASD outcomes?**

# Neural circuitry at age 6 months associated with later repetitive behavior and sensory features in autism



Jason Wolff



**ATR** = anterior thalamic radiation;  
**CST** = cortico-spinal tract; **genu** = genu of corpus callosum; **MCP** = mid-cerebellar peduncle; **SCP** = superior cerebellar peduncle

**HR-ASD** (N=44); **HR-NEG** (N=173)

- DTI tracts at 6, 12 and 24 months
- Behavior: RBS-R, SEQ

Genu FA & Cerebellar pathways at 6 months

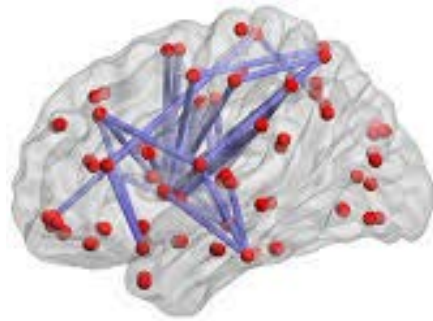


Repetitive behavior & sensory features at 24 months

- **no association** between genu/cerebellar tracts and ADOS **social affect** score



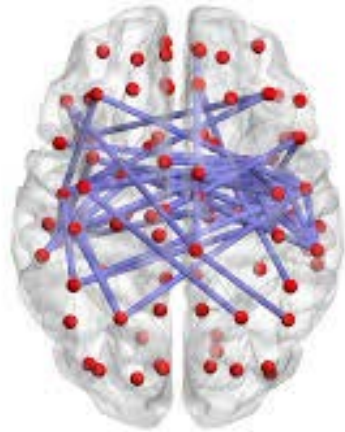
# Examining brain networks



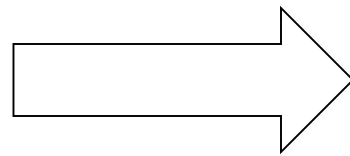
functional connectivity  
networks from rsMRI



Robert Emerson



6 Months of Age



Using functional  
connectivity at 6  
months to predict ASD  
outcomes at 24 months

## Future directions and next steps

- Explore brain-behavior relationships in cortical and subcortical data
- Multi-modal analyses (e.g, sMRI, DTI, bx)
- Individual profiles and domain based trajectories (e.g., RDoC)
- Incorporate genetics and environmental risk data



# Infant Brain Imaging Study (IBIS) NIH ACE Network

## University of North Carolina

Joe Piven  
Heather Cody Hazlett  
Martin Styner  
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Chad Chappell

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Robert Schultz  
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## University of Washington

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## University of Alberta Lonnie Zwaigenbaum

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